

UNITED STATES BANKRUPTCY COURT

SOUTHERN DISTRICT OF NEW YORK

In re Lehman Brothers Holdings Inc.

Case No. 08-13555 (SCC)

PARTIAL TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a).
Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of
the transfer, other than for security, of the claim referenced in this evidence and notice.

Name of Transferee

Name of Transferor

Empyrean Investments, LLC

Citigroup Financial Products Inc.

Name and Address where notices to
transferee should be sent:

c/o Empyrean Capital Partners, LP
10250 Constellation Blvd., Ste. 2950
Los Angeles, CA 90067
Fax: (310) 843-3070
Attn: Sterling Hathaway
E-mail: operations@empyrean.com

Court Claim # (if known): 27141
Allowed General Unsecured Claim
Transferred Claim Amount: \$19,781,470.79
Date Claim Filed: 9/22/09
Last Four Digits of Acct #: N/A

Last Four Digits of Acct #: N/A

I declare under penalty of perjury that the information provided in this notice is true and correct
to the best of my knowledge and belief.

By: 

Transferee/Transferee's Agent

Date: August 20, 2015

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK

In re:

LEHMAN BROTHERS
HOLDINGS, INC., et al.

Debtors

Case No. 08-13555

Chapter 11

Jointly Administered

**NOTICE AND EVIDENCE OF PARTIAL TRANSFER OF
CLAIM PURSUANT TO RULE 3001(e)**

PLEASE TAKE NOTICE that \$19,781,470.79 of the claim set forth below (the "Transferred Claim"), of **CITIGROUP FINANCIAL PRODUCTS INC.** ("Assignor") filed as an original or amended Proof of Claim against the Debtor(s):

Proof of Claim Amount	Proof of Claim No.
\$620,345,695	27141

has been transferred and assigned to **EMPYREAN INVESTMENTS, LLC** ("Assignee"). The signature of Assignor on this document is evidence of the transfer of \$19,781,470.79 of the claim and all rights thereto.

Assignor hereby waives any notice or hearing requirements imposed by Rule 3001 of the Bankruptcy Rules, and stipulates that an order may be entered recognizing this Assignment as an unconditional assignment and the Assignee herein as the valid owner of the Transferred Claim. You are hereby requested to make all future payments and distributions, and to give all notices and other communications, in respect of the Transferred Claim to the Assignee.

ASSIGNEE: Empyrean Investments, LLC

Address: 10250 Constellation Blvd., Ste 2950
Los Angeles, CA 90067

Signature: 

Name:

Sterling Hathaway

Title:

Director of Operations

Date:

(310) 843-3070

operations@empyrean.com

**ASSIGNOR: Citigroup Financial Products
Inc.**

Address: 390 Greenwich Street, 4th Floor
New York, NY 10013

Signature: _____

Name: _____

Title: _____

Date: August 19, 2015

UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK

In re:

LEHMAN BROTHERS
HOLDINGS, INC., et al.,

Debtors

Case No. 08-13555

Chapter 11

Jointly Administered

**NOTICE AND EVIDENCE OF PARTIAL TRANSFER OF
CLAIM PURSUANT TO RULE 3001(c)**

PLEASE TAKE NOTICE that \$19,781,470.79 of the claim set forth below (the "Transferred Claim"), of **CITIGROUP FINANCIAL PRODUCTS INC.** ("Assignor") filed as an original or amended Proof of Claim against the Debtor(s):

Proof of Claim Amount	Proof of Claim No.
\$620,345,695	27141

has been transferred and assigned to **EMPYREAN INVESTMENTS, LLC** ("Assignee"). The signature of Assignor on this document is evidence of the transfer of \$19,781,470.79 of the claim and all rights thereto.

Assignor hereby waives any notice or hearing requirements imposed by Rule 3001 of the Bankruptcy Rules, and stipulates that an order may be entered recognizing this Assignment as an unconditional assignment and the Assignee herein as the valid owner of the Transferred Claim. You are hereby requested to make all future payments and distributions, and to give all notices and other communications, in respect of the Transferred Claim to the Assignee.

ASSIGNEE: Empyrean Investments, LLC

Address: 10250 Constellation Blvd., Ste 2950
Los Angeles, CA 90067

Signature: _____

Name: _____

Title: _____

Date: _____

**ASSIGNOR: Citigroup Financial Products
Inc.**

Address: 390 Greenwich Street, 4th Floor
New York, NY 10013

Signature: 

Name: Joelle Gavlick

Title: Authorized Signatory

Date: August 19, 2015